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IN THE UNITED STATES DISTRICT COURT
FOR THE DISTRICT OF MARYLAND

AT BALTIMORE
CLERK U.S. DISTRICT COURT
DISTRICT OF MARYLAND
DEPUTY

JGD:fc

UNITED STATES OF AMERICA

* CRIMINAL NO. HM 85-0621

v.

RONALD WILLIAM PELTON

- * (Conspiracy to Deliver National Defense Information to a Foreign Government, 18 U.S.C. § 794(c); Delivery of National Defense Information to a Foreign Government, 18 U.S.C. § 794(a); Transmission of Information Concerning the Communication Intelligence Activities of the United States to an Unauthorized Person, 18 U.S.C. § 798(a); Aiding and Abetting, 18 U.S.C § 2)

INDICTMENT

The Grand Jury for the District of Maryland charges that:

A. INTRODUCTION

1. RONALD WILLIAM PELTON was employed by the National Security Agency (NSA) from November 1965 through July 1979.

2. Throughout his employment at NSA, RONALD WILLIAM PELTON possessed a Top Secret security clearance with additional clearances for compartmented information relating to signals intelligence. In the course of that employment, he obtained a wide range of information relating to the national defense of the United States of America.

3. At times relevant to this Indictment, Anatoly Slavnov was an agent, officer and employee of the government of the Union of Soviet Socialist Republics.

(1)

B. THE CONSPIRACY

4. Beginning on or about January 14, 1980, and continuing thereafter until on or about November 24, 1985, in the State and District of Maryland, the District of Columbia, the Commonwealth of Virginia, Vienna, Austria, and elsewhere,

RONALD WILLIAM PELTON

did knowingly and wilfully combine, conspire, confederate and agree with Anatoly Slavnov and with other persons known and unknown to the Grand Jury, to knowingly and wilfully communicate, deliver, and transmit to a foreign government, that is, the Union of Soviet Socialist Republics and to representatives, officers, agents, employees, subjects and citizens thereof, directly and indirectly, information relating to the national defense of the United States of America, with intent and reason to believe that the same would be used to the injury of the United States of America and to the advantage of a foreign nation, that is, the Union of Soviet Socialist Republics, in violation of Title 18, United States Code, § 794(a).

5. It was a part of the conspiracy that RONALD WILLIAM PELTON would communicate with agents of the Union of Soviet Socialist Republics, through various clandestine means, for purposes of arranging meetings and for receiving payments intended to cover the expenses of international travel.

6. It was further a part of the conspiracy that on various occasions RONALD WILLIAM PELTON would deliver and attempt to deliver information relating to the national defense to a representative, officer, agent, employee, subject and citizen of

the Union of Soviet Socialist Republics.

7. It was further a part of the conspiracy that RONALD WILLIAM PELTON received cash payments in compensation for his role in the conspiracy.

C. OVERT ACTS

8. To effect the objects of the conspiracy, RONALD WILLIAM PELTON and co-conspirators committed various overt acts within the State and District of Maryland, and elsewhere, including but not limited to the following:

(a) On or about January 15, 1980, RONALD WILLIAM PELTON travelled from Maryland to the District of Columbia for the purposes of transmitting information relating to the national defense of the United States of America to representatives of the Union of Soviet Socialist Republics.

(b) On or about January 15, 1980, at the Embassy of the Union of Soviet Socialist Republics in Washington, D.C., RONALD WILLIAM PELTON did transmit and attempt to transmit information relating to the national defense of the United States to officers and agents of the Union of Soviet Socialist Republics.

(c) In or about 1980, the exact date being unknown to the Grand Jury, RONALD WILLIAM PELTON travelled from Maryland to Vienna, Austria.

(d) In or about 1980, the exact date being unknown to the Grand Jury, in Vienna, Austria, RONALD WILLIAM PELTON received approximately \$20,000 cash from an agent of the Union of Soviet Socialist Republics.

(e) In or about 1980, in Vienna, Austria, RONALD WILLIAM PELTON did transmit and attempt to transmit information relating to the national defense of the United States to an agent of the Union of Soviet Socialist Republics.

(f) In or about January 1983, RONALD WILLIAM PELTON travelled from Maryland to Vienna, Austria.

(g) In or about January 1983, in Vienna, Austria, RONALD WILLIAM PELTON received approximately \$15,000 cash from an agent of the Union of Soviet Socialist Republics.

(h) In or about January 1983, in Vienna, Austria, RONALD WILLIAM PELTON did transmit and attempt to transmit information relating to the national defense of the United States to an agent of the Union of Soviet Socialist Republics.

(i) In or about April 1985, RONALD WILLIAM PELTON travelled to Vienna, Austria, for the purposes of meeting with an agent of the Union of Soviet Socialist Republics.

(j) In or about the summer of 1985, in the State and District of Maryland, RONALD WILLIAM PELTON did travel by automobile toward a designated location in Virginia for the purpose of communicating with an agent of the Union of Soviet Socialist Republics.

COUNT TWO

And the Grand Jury for the District of Maryland further charges that:

On or about January 14 and 15, 1980, in the State and District of Maryland, and the District of Columbia,

RONALD WILLIAM PELTON

did knowingly and wilfully communicate, deliver, and transmit and attempt to communicate, deliver and transmit to a foreign government, that is, the Union of Soviet Socialist Republics, and to a representative, officer, agent, employee, subject, and citizen thereof, information relating to the national defense of the United States of America, with intent and reason to believe that said information was to be used to the injury of the United States and to the advantage of a foreign nation, that is, the Union of Soviet Socialist Republics.

18 U.S.C. Section 794(a)

18 U.S.C. Section 2

COUNT THREE

And the Grand Jury for the District of Maryland further charges that:

In or about 1980, the precise date and time being unknown to the Grand Jury, in the State and District of Maryland, Vienna, Austria, and elsewhere,

RONALD WILLIAM PELTON

did knowingly and wilfully communicate, deliver, and transmit and attempt to communicate, deliver and transmit to a foreign government, that is, the Union of Soviet Socialist Republics, and to a representative, officer, agent, employee, subject, and citizen thereof, information relating to the national defense of the United States of America, with intent and reason to believe that said information was to be used to the injury of the United States and to the advantage of a foreign nation, that is, the Union of Soviet Socialist Republics.

18 U.S.C. Section 794(a)

18 U.S.C. Section 2

COUNT FOUR

And the Grand Jury for the District of Maryland further charges that:

In or about January 1983, in the State and District of Maryland, Vienna, Austria, and elsewhere,

RONALD WILLIAM PELTON

did knowingly and wilfully communicate, deliver, and transmit and attempt to communicate, deliver and transmit to a foreign government, that is, the Union of Soviet Socialist Republics, and to a representative, officer, agent, employee, subject, and citizen thereof, information relating to the national defense of the United States of America, with intent and reason to believe that said information was to be used to the injury of the United States and to the advantage of a foreign nation, that is, the Union of Soviet Socialist Republics.

18 U.S.C. Section 749(a)

18 U.S.C. Section 2

COUNT FIVE

And the Grand Jury for the District of Maryland further charges that:

In or about April 1985, in the State and District of Maryland, Vienna, Austria, and elsewhere,

RONALD WILLIAM PELTON

did knowingly and wilfully attempt to communicate, deliver, and transmit to a foreign government, that is, the Union of Soviet Socialist Republics, and to a representative, officer, agent, employee, subject, and citizen thereof, information relating to the national defense of the United States of America, with intent and reason to believe that said information was to be used to the injury of the United States and to the advantage of a foreign nation, that is, the Union of Soviet Socialist Republics.

18 U.S.C. Section 794(a)

18 U.S.C. Section 2

COUNT SIX

And the Grand Jury for the District of Maryland further charges that:


In or about January 1983, in the State and District of Maryland, Vienna, Austria, and elsewhere,

RONALD WILLIAM PELTON

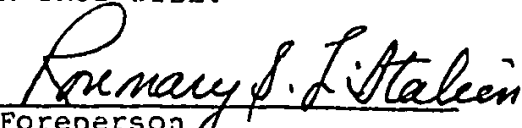
did knowingly and wilfully communicate, furnish, transmit and make available to an unauthorized person classified information concerning the communication intelligence activities of the United States.

18 U.S.C. Section 798(a)

18 U.S.C. Section 2


Catherine C. Blake
Catherine C. Blake
United States Attorney

A TRUE BILL:


Rosemary S. Litalien
Foreperson